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| Form PTO-1449<br>(REV. 7-80)<br><br><b>U.S. DEPARTMENT OF COMMERCE<br/>PATENT AND TRADEMARK OFFICE</b><br><br><b>LIST OF PRIOR ART<br/>CITED BY APPLICANT</b><br><br>(Use several sheets if necessary) | <b>Atty. Docket No.</b><br>10981AZ          | <b>Serial No.</b><br>Unassigned |
|  | <b>Applicant</b><br>Peter A. Koopman et al. |                                 |
|  | <b>Filing Date</b><br>HEREWITH              | <b>Group</b><br>Unassigned      |

1050 U.S. PTO  
 09/910087  
 07/20/01

**U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL* |    | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>(if appropriate) |
|----------------------|----|-----------------|------|------|-------|----------|---------------------------------|
|                      | AA |                 |      |      |       |          |                                 |
|                      | AB |                 |      |      |       |          |                                 |
|                      | AC |                 |      |      |       |          |                                 |
|                      | AD |                 |      |      |       |          |                                 |
|                      | AE |                 |      |      |       |          |                                 |
|                      | AF |                 |      |      |       |          |                                 |

**FOREIGN PATENT DOCUMENTS**

|  |    | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|----|-----------------|------|---------|-------|----------|-------------|----|
|  |    |                 |      |         |       |          | YES         | NO |
|  | AK |                 |      |         |       |          |             |    |
|  | AL |                 |      |         |       |          |             |    |
|  | AM |                 |      |         |       |          |             |    |

**OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

|  |    |  |
|--|----|--|
|  | AP | P. Denney et al. (1992) "A conserved family of genes related to the testis determining gene, SRY" <i>Nucleic Acid Research</i> 20(11): 2887.       |
|  | AR | E.M. Wright et al. (1993) "Seven new members of the Sox gene family expressed during mouse development" <i>Nucleic Acid Research</i> 21(3): 744.   |
|  | AS | C. Gozé et al. (1993) "Partial cloning of SOX-11 and SOX-12, two new human SOX genes" <i>Nucleic Acid Research</i> 21(12): 2943.                   |
|  | AT | J.W. Foster et al. (1994) "Campomelic dysplasia and autosomal sex reversal caused by mutations in an SRY-related gene" <i>Nature</i> 372: 525-530. |
|  | AU | E. Wright et al. (1995) "The Sry-related gene Sox9 is expressed during chondrogenesis in mouse embryos" <i>Nature Genetics</i> 9: 15-20.           |
|  | AV | J.R. Hawkins (1995) "Commentary: Genetics of XY sex reversal" <i>Journal of Endocrinology</i> 147: 183-187.  |

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|---------------------|-----------------------------------|
| <b>EXAMINER</b><br> | <b>DATE CONSIDERED</b><br>1/23/03 |
|---------------------|-----------------------------------|

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.